

Overseeing the responsible use of public resources in times of pandemic



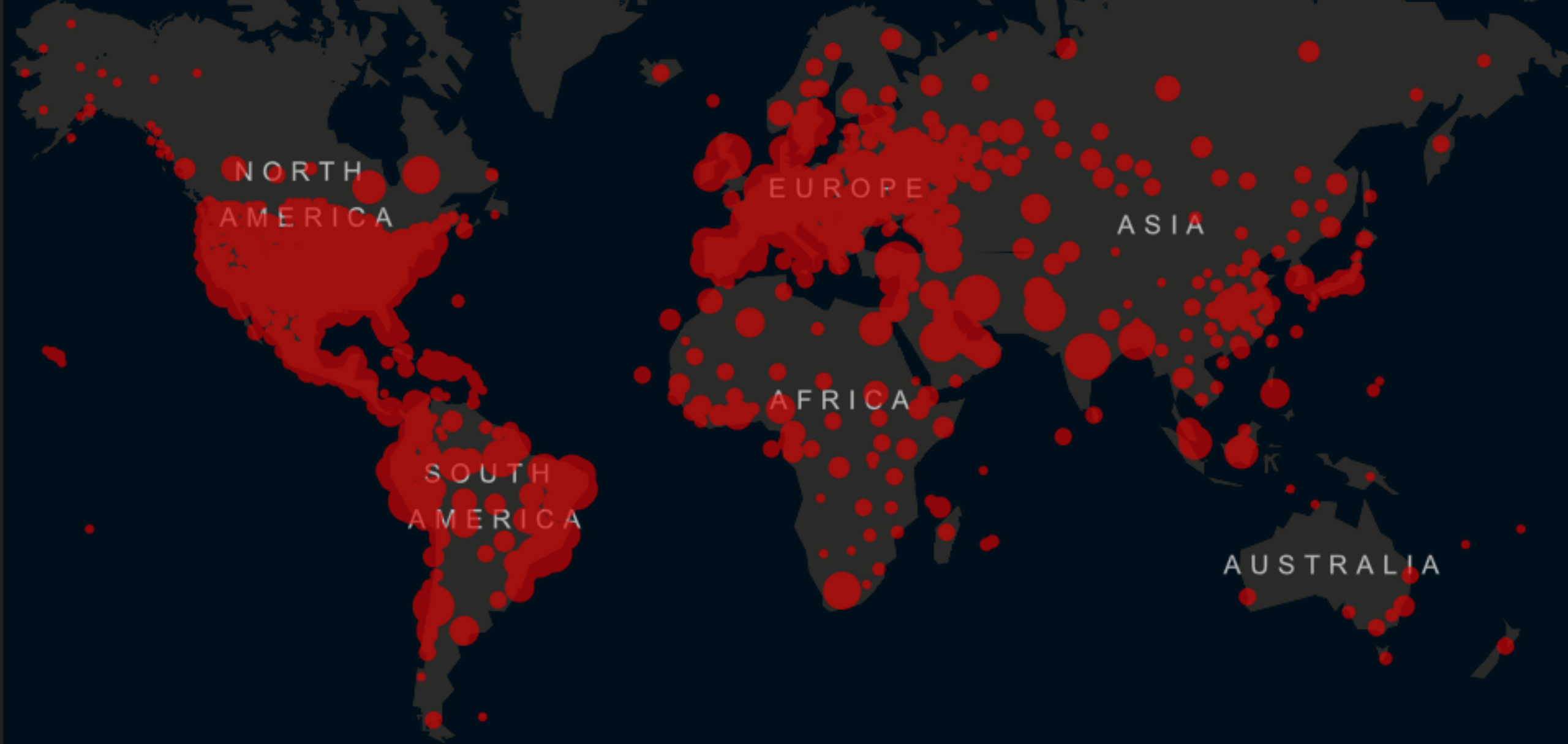
OVERSEEING THE RESPONSIBLE
USE OF PUBLIC RESOURCES



Jorge Bermúdez

Comptroller General of the Republic of Chile

INTOSAI Supervisory Committee on Emerging Issues (SCEI)
COVID 19 Expert Group Meeting
22 June 2020



Public control is challenged by the pandemic



Chile – some numbers

- 19 Mio inhabitants
- 8 Mio live in capital Santiago
- 13 % of population live in poverty
- Social conflicts since october 2019 have left diverse impacts
- Public health system is weak

Chile and Pandemic

- First Covid cases in march 2020. Daily infection rates up to 7.000 in the last weeks
- Record numbers: more than 12.000 COVID19 cases diagnosed /mio population
- Isolation measures: curfew since march from 22-5, increasing areas on quarantine
- Low compliance of population due to poverty, informal jobs and overcrowding
- Relatively efficient response of health system
- Slow governmental response to financial support of isolation measures and enforcement of compliance

Contraloría General de la República de Chile

- Staff 2200
- Main Office Santiago and 17 regional offices distributes over Chile

INTOSAI Moscow Declaration September 2019

We agreed:

- to adopt a strategic approach to audit,
- to use IT-instruments, open data resources,
- to promote inclusiveness,
- to build remote auditing capacity.

Contraloría de Chile ya se encuentra en la INCOSAI 2019

El XXIII encuentro se realiza en Rusia y reúne a EFS (entidades fiscalizadoras superiores) de todo el mundo



Measures of SAI-Chile during pandemic

Adaption of Audit Plan

Transparency

1



Remote working

2



3



Intensive use of ITs

4



5



Communication: use of social networks



1 Remote working

- 93% of staff working remotely
- Performance oriented work
- Use of “Teams”
- Work shifts
- Online capacity building
- Internal audit of remote working

COVID-19 preventive measures implemented in the Comptroller General's Office and suggested guidelines State Administration institutions



- 1** We have suspended all hearings and face-to-face meetings
- 2** User service and processing are digital
- 3** Remote work for all officials. Those who cannot, can take online courses
- 4** The State Administration Services (except critical and emergency services) can adopt these criteria. The Comptroller General's Office will take into account the reasons of "force majeure" in its audits.
- 5** It is essential that the services consider risk groups in their plans.
In addition, people with children who do not have support networks to care for them should be considered.

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Adaption of Audit Plan 2020 to COVID-19

Reduction of field validation to inevitable matters (social benefits, medical equipment, etc.)



3 Intensive use of ITs

Integrated Information System to monitor the behavior of pandemic-related public procurement

Big Data

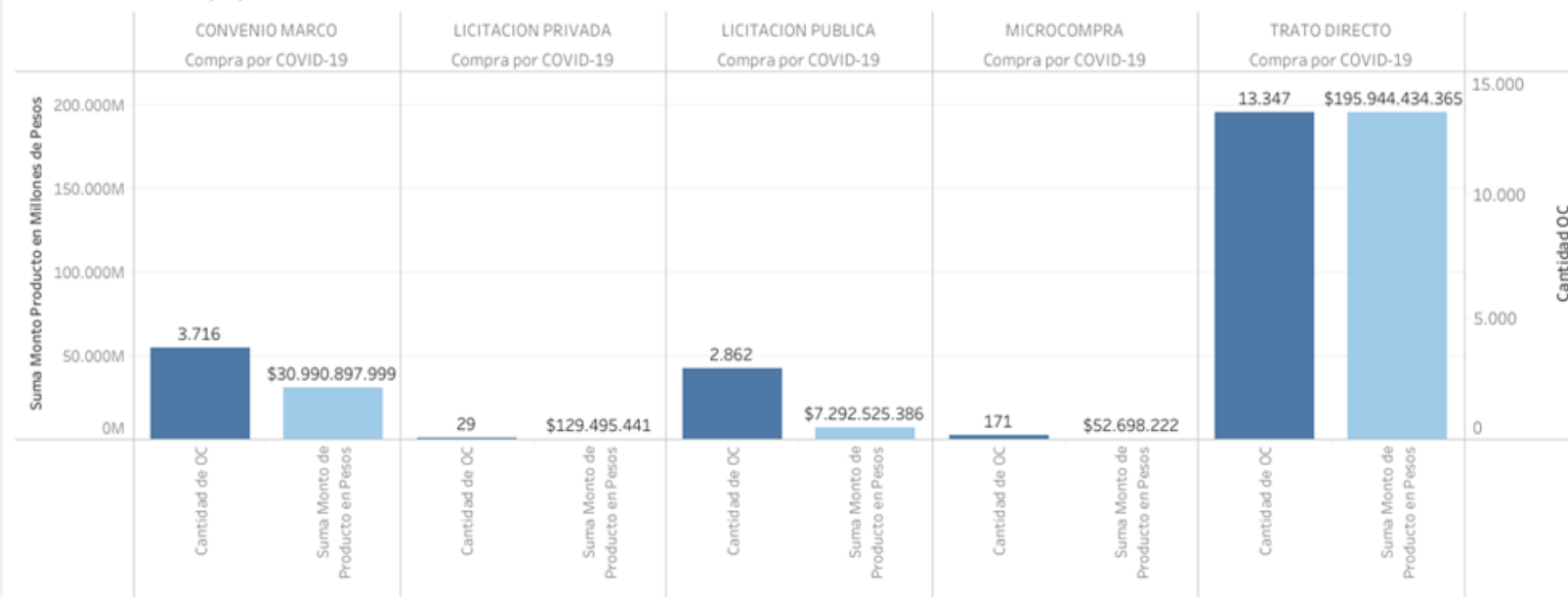
Vistas ▼

BUSCAR

LOS ÚLTIMOS 10 REPORTE VISTOS

Nombre del Reporte y Vista	Fecha última visita	Cantidad visitas	Cantidad usuarios	
REPORTE COVID 19 - Compras en Mercado Público: Compras por COVID-19	02-06-2020 22:30:39	85	36	→
REPORTE COVID 19 - Monitoreo Nacional: COVID-19 CGR	02-06-2020 21:19:46	209	42	→
REPORTE CHCOMPRA - Órdenes de Compra (OC): OC 2014-2020 por Entidad	02-06-2020 19:41:53	327	57	→
REPORTE CHCOMPRA - Órdenes de Compra (OC): OC 2014-2020 por Proveedor	02-06-2020 17:51:15	196	44	→
REPORTE CHCOMPRA - Licitaciones adjudicadas: Licitaciones 2014-2020 por Proveedor	02-06-2020 17:28:38	49	26	→

Resumen Órdenes de Compra por Modalidad



3 *Intensive use of ITs*

What we have found in our big data analysis?

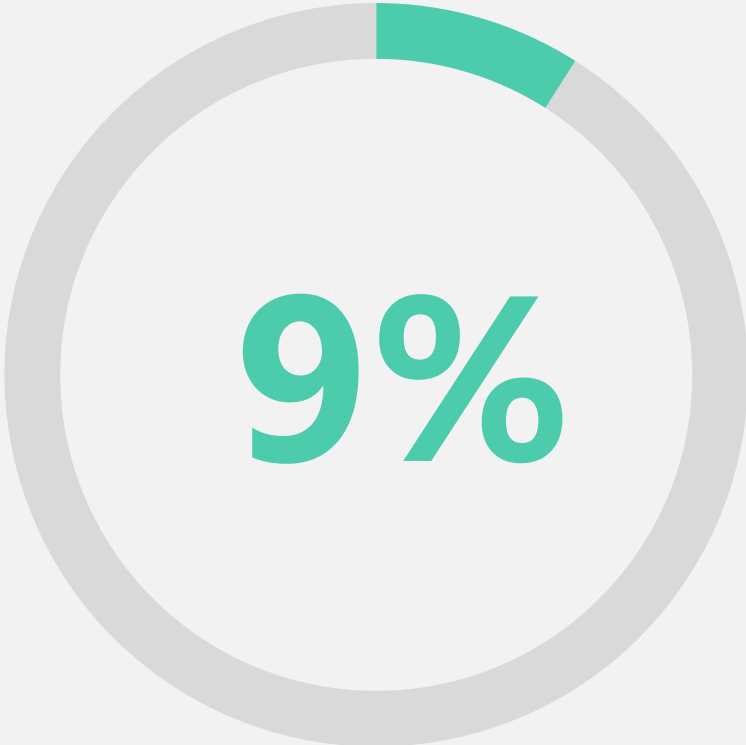


- Conflicts of Interests in awarding contracts
- Purchase fragmentation
- Excessive prices for certain products
- Suspicious companies created out of the pandemic
- Suppliers with a governmental organization as single client

Significant amount of national budget involved



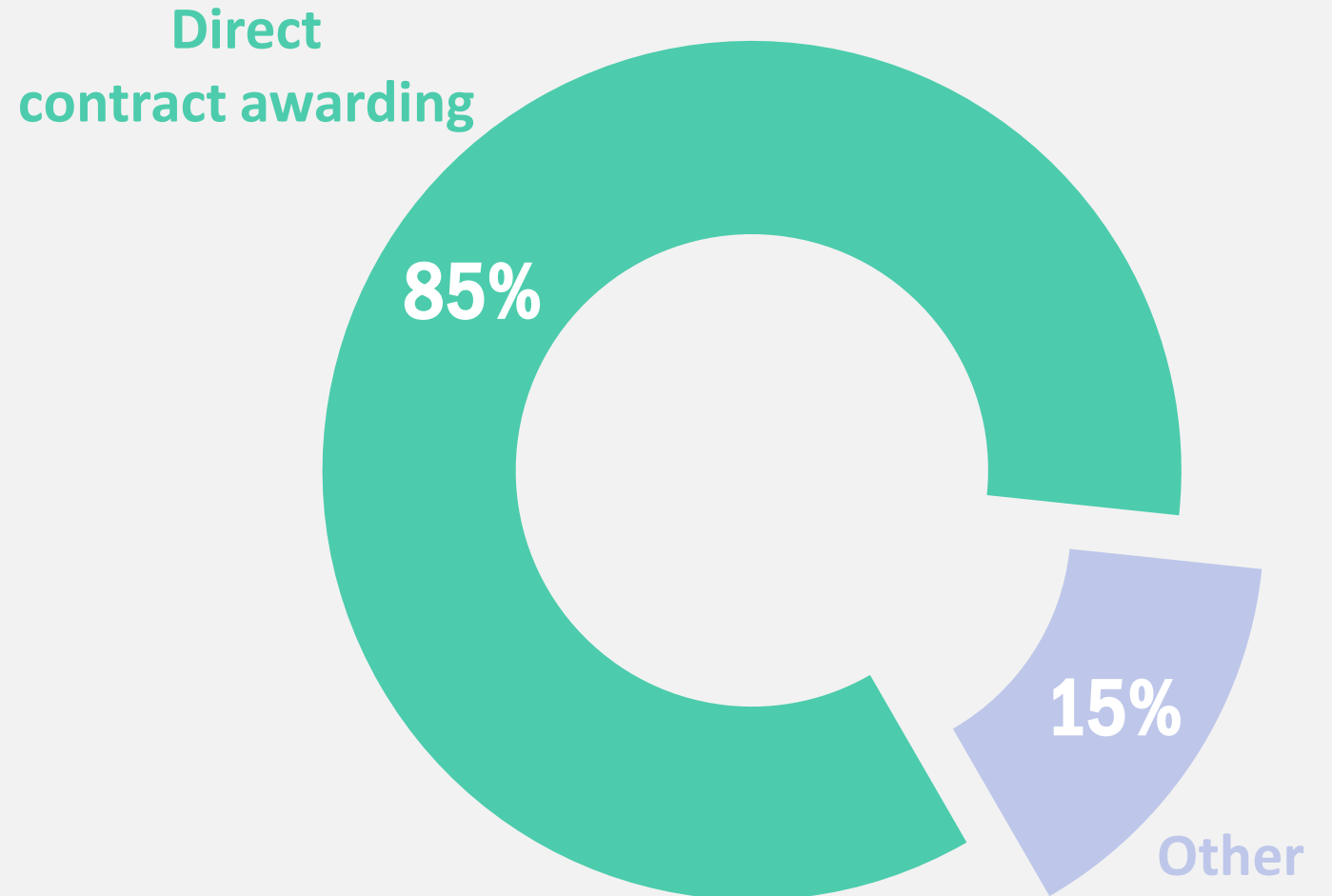
Purchases related to pandemic



of the total amount registered in the Chilean
National Public Procurement System
(February to June 2020)

Increased discretionality

Increased use of alternative
processes to the regular
purchase process



Purchase of urgent and/or highly specific products, which are limited on national and international level



- Respirators and oxygenation machines
- Personal protection elements
- Medical and pharmaceutical supplies

Website accessible to general public with information related to the activities of the SAI and Government in the context of the pandemic



Dissemination of the work of the SAI

Platform for civic education

Strengthening stakeholders engagement

Canastas y otros beneficios sociales: ¡controlemos, que nadie se aproveche!



Nadie puede cobrarte o pedirte algo a cambio para obtener el beneficio



Nadie puede exigirte afiliación política, condicionarte por ello o pedirte firmar por un partido



Los beneficios los otorga el Estado. Nadie puede atribuirse un mérito personal, como "esto es gracias a..."



Las entregas deben priorizar y enfocarse a las personas que más lo necesiten. Además debe evitarse duplicidad de beneficio



Nadie puede quedarse con parte de los insumos que te correspondan



Nadie te puede obligar a salir en videos o fotografías para difundir. Esto sólo puede ser con tu consentimiento.

(lo que sí pueden pedirte, es un registro fotográfico para asegurar que llegó el beneficio)

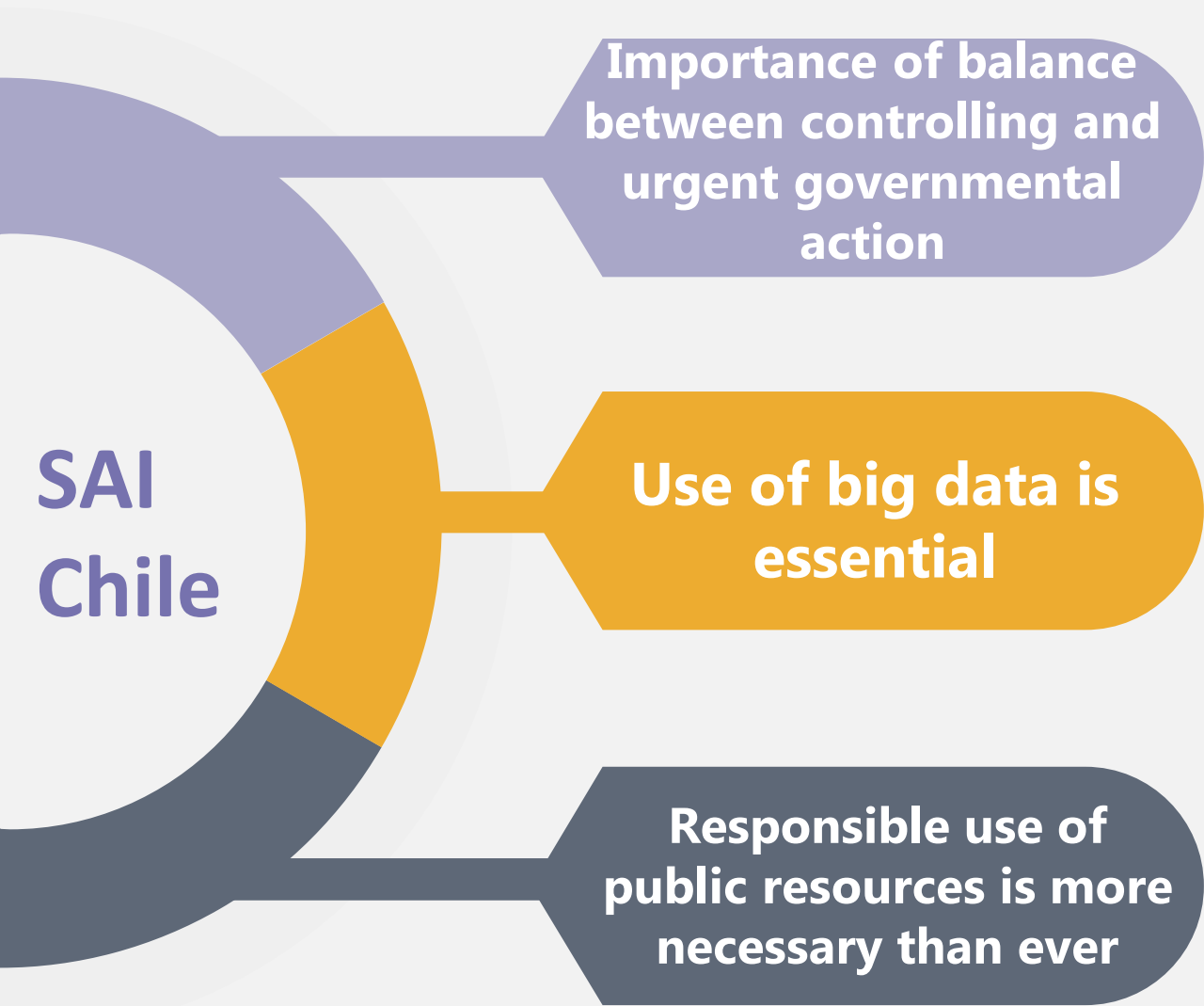


Trending topic: Political abuse (proselytizing)

- Excessive appearance of certain politicians in TV morning shows.
- Delivery of social benefits with political interest over efficient distribution and not respecting privacy of citizens.



Conclusion from our work in times of pandemic





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