

Overseeing the responsible use of public resources in times of pandemic



OVERSEEING THE RESPONSIBLE
USE OF PUBLIC RESOURCES



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Public control is challenged by the pandemic



Chile – some numbers

- 19 Mio inhabitants
- 8 Mio live in capital Santiago
- 13 % of population live in poverty
- Social conflicts since october 2019 have left diverse impacts
- Public health system is weak

Chile and Pandemic

- First Covid cases in march 2020. Daily infection rates up to 7.000 in the last weeks
- Record numbers: more than 12.000 COVID19 cases diagnosed /mio population
- Isolation measures: curfew since march from 22-5, increasing areas on quarantine
- Low compliance of population due to poverty, informal jobs and overcrowding
- Relatively efficient response of health system
- Slow governmental response to financial support of isolation measures and enforcement of compliance

Contraloría General de la República de Chile

- Staff 2200
- Main Office Santiago and 17 regional offices distributes over Chile

INTOSAI Moscow Declaration September 2019

We agreed:

- to adopt a strategic approach to audit,
- to use IT-instruments, open data resources,
- to promote inclusiveness,
- to build remote auditing capacity.

Contraloría de Chile ya se encuentra en la INCOSAI 2019

El XXIII encuentro se realiza en Rusia y reúne a EFS (entidades fiscalizadoras superiores) de todo el mundo



Measures of SAI-Chile during pandemic

Adaption of Audit Plan

1

Remote working

2

Intensive use of ITs

3

Transparency

4

Communication: use of social networks

5

1 Remote working

- 93% of staff working remotely
- Performance oriented work
- Use of “Teams”
- Work shifts
- Online capacity building
- Internal audit of remote working

COVID-19 preventive measures implemented in the Comptroller General's Office and suggested guidelines State Administration institutions

1 We have suspended all hearings and face-to-face meetings

2 User service and processing are digital

3 Remote work for all officials. Those who cannot, can take online courses

4 The State Administration Services (except critical and emergency services) can adopt these criteria. The Comptroller General's Office will take into account the reasons of "force majeure" in its audits.

5 It is essential that the services consider risk groups in their plans.

In addition, people with children who do not have support networks to care for them should be considered.

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2

Adaption of Audit Plan

Adaption of Audit Plan 2020 to COVID-19

Reduction of field validation to inevitable matters (social benefits, medical equipment, etc.)



3 Intensive use of ITs

● Integrated Information System to monitor the behavior of pandemic-related public procurement

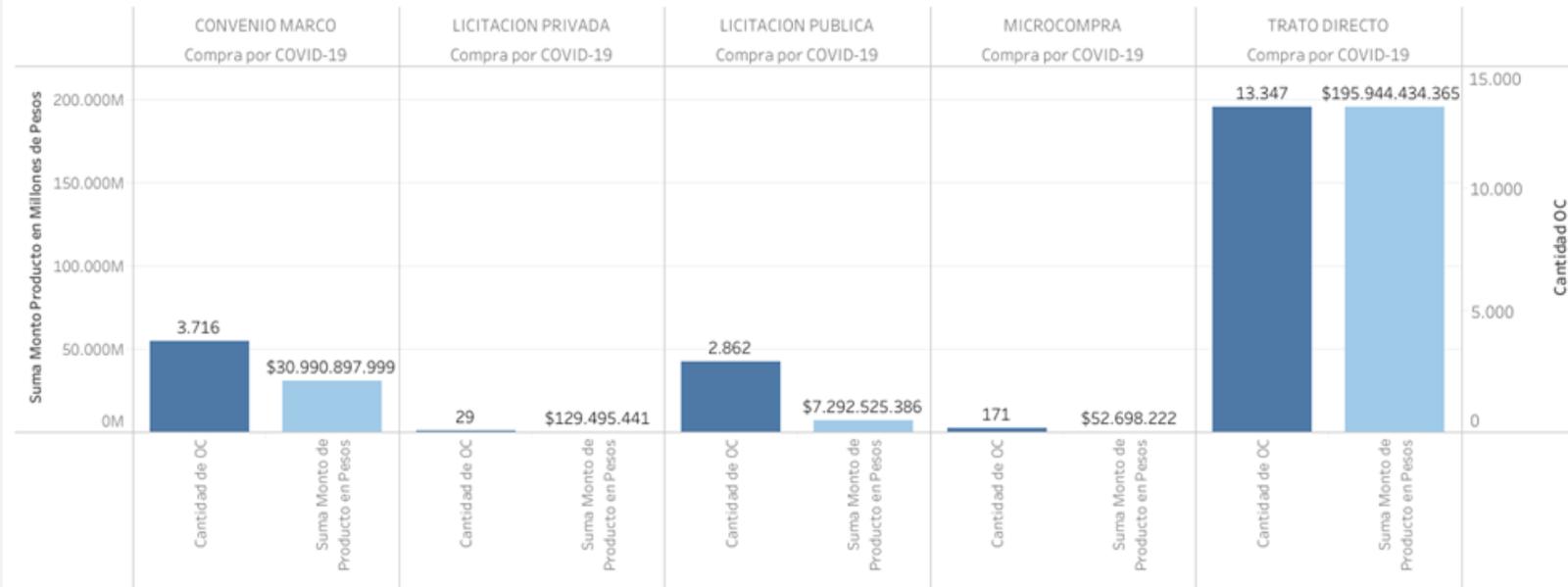
● Big Data

 Vistas BUSCAR

LOS ÚLTIMOS 10 REPORTE VISTOS

Nombre del Reporte y Vista	Fecha última visita	Cantidad visitas	Cantidad usuarios
REPORTE COVID 19 - Compras en Mercado Público: Compras por COVID-19	02-06-2020 22:30:39	85	36
REPORTE COVID 19 - Monitoreo Nacional: COVID-19 CGR	02-06-2020 21:19:46	209	42
REPORTE CHCOMPRA - Órdenes de Compra (OC): OC 2014-2020 por Entidad	02-06-2020 19:41:53	327	57
REPORTE CHCOMPRA - Órdenes de Compra (OC): OC 2014-2020 por Proveedor	02-06-2020 17:51:15	196	44
REPORTE CHCOMPRA - Licitaciones adjudicadas: Licitaciones 2014-2020 por Proveedor	02-06-2020 17:28:38	49	26

Resumen Órdenes de Compra por Modalidad



3 *Intensive use of ITs*

What we have found in our big data analysis?

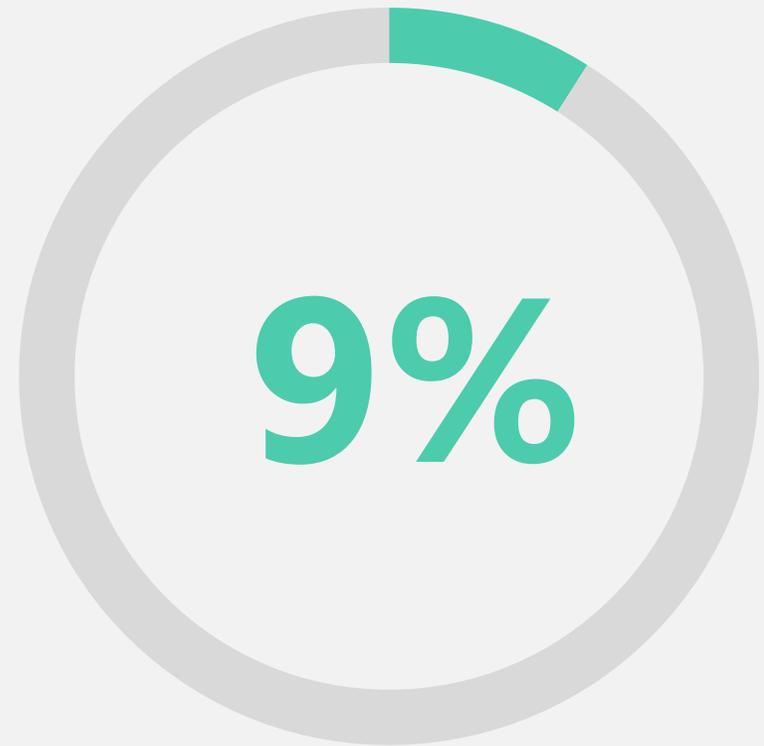


- Conflicts of Interests in awarding contracts
- Purchase fragmentation
- Excessive prices for certain products
- Suspicious companies created out of the pandemic
- Suppliers with a governmental organization as single client

Significant amount of national budget involved



Purchases related to pandemic

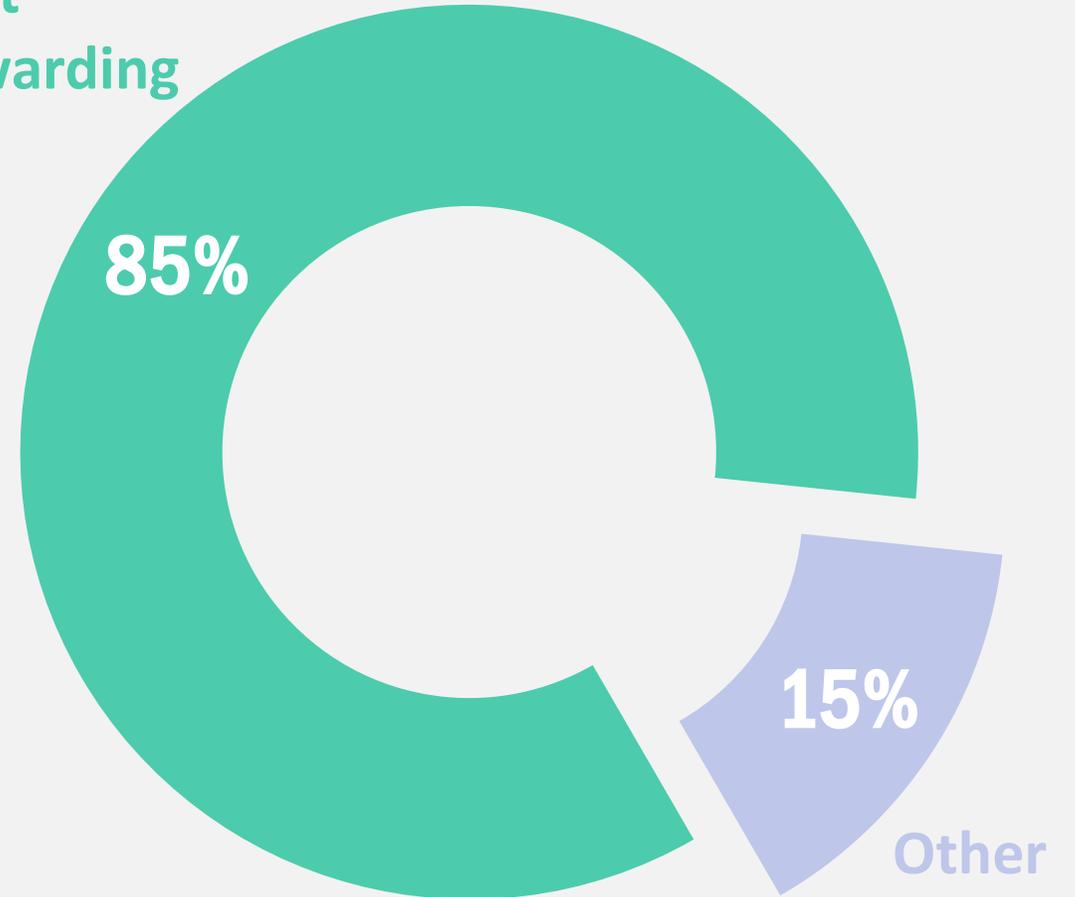


of the total amount registered in the Chilean National Public Procurement System (February to June 2020)

Increased discretionality

Increased use of alternative processes to the regular purchase process

Direct contract awarding



Purchase of urgent and/or highly specific products, which are limited on national and international level



- Respirators and oxygenation machines
- Personal protection elements
- Medical and pharmaceutical supplies

Website accessible to general public with information related to the activities of the SAI and Government in the context of the pandemic

The screenshot shows the website for the Contraloría General de la República (CGR) during the COVID-19 pandemic. The header features the CGR logo and the text 'CORONAVIRUS COVID-19 INFORMACIÓN RELEVANTE'. Below the header, there is a paragraph explaining the pandemic's impact and the CGR's role. The main content area consists of a grid of buttons, each with an icon and a title, and an 'INGRESAR' button below each. The buttons are: 'DICTÁMENES Y OFICIOS', 'MEDIDAS INTERNAS CGR', 'INFOGRAFÍAS RRSS CGR', 'ACCIONES DE FISCALIZACIÓN', 'ACTOS TOMADOS RAZÓN', 'LEYES TRAMITADAS', 'DECRETOS DE MODIFICACIÓN PRESUPUESTARIA 2020', 'INFORMACIÓN SANITARIA', and 'PREGUNTAS FRECUENTES'.

 **CORONAVIRUS COVID-19**  contraloria.cl

INFORMACIÓN RELEVANTE

La pandemia del Covid-19 representa un desafío sin precedentes para las personas, la sociedad y el Estado. Es por ello que en la Contraloría General de la República hemos debido adaptar nuestra forma de trabajo para continuar realizando las tareas que nos encomiendan la Constitución y la ley, y dar respuesta oportuna a los requerimientos de control de legalidad y fiscalización que resultan exigibles en estos momentos.

En esta nueva interfaz que se presenta queremos dar cuenta, en una mirada, de toda aquella información relevante que pasa por CGR o a la que tiene acceso nuestra institución y que se relaciona con la actuación del Estado en la lucha contra esta enfermedad.

En tiempos de una crisis sanitaria como la que enfrentamos, la presencia del control y el cuidado y buen uso de los recursos públicos se vuelven más imperiosos que nunca.

 DICTÁMENES Y OFICIOS INGRESAR	 MEDIDAS INTERNAS CGR INGRESAR	 INFOGRAFÍAS RRSS CGR INGRESAR	 ACCIONES DE FISCALIZACIÓN INGRESAR
 ACTOS TOMADOS RAZÓN INGRESAR	 LEYES TRAMITADAS INGRESAR	 DECRETOS DE MODIFICACIÓN PRESUPUESTARIA 2020 INGRESAR	 INFORMACIÓN SANITARIA INGRESAR
 PREGUNTAS FRECUENTES INGRESAR			

Dissemination of the work of the SAI

Platform for civic education

Strengthening stakeholders engagement

Canastas y otros beneficios sociales: ¡controlemos, que nadie se aproveche!



Nadie puede cobrarte o pedirte algo a cambio para obtener el beneficio



Nadie puede exigirte afiliación política, condicionarte por ello o pedirte firmar por un partido



Los beneficios los otorga el Estado. Nadie puede atribuirse un mérito personal, como "esto es gracias a..."



Las entregas deben priorizar y enfocarse a las personas que más lo necesiten. Además debe evitarse duplicidad de beneficio



Nadie puede quedarse con parte de los insumos que te correspondan



Nadie te puede obligar a salir en videos o fotografías para difundir. Esto sólo puede ser con tu consentimiento.

(lo que sí pueden pedirte, es un registro fotográfico para asegurar que llegó el beneficio)

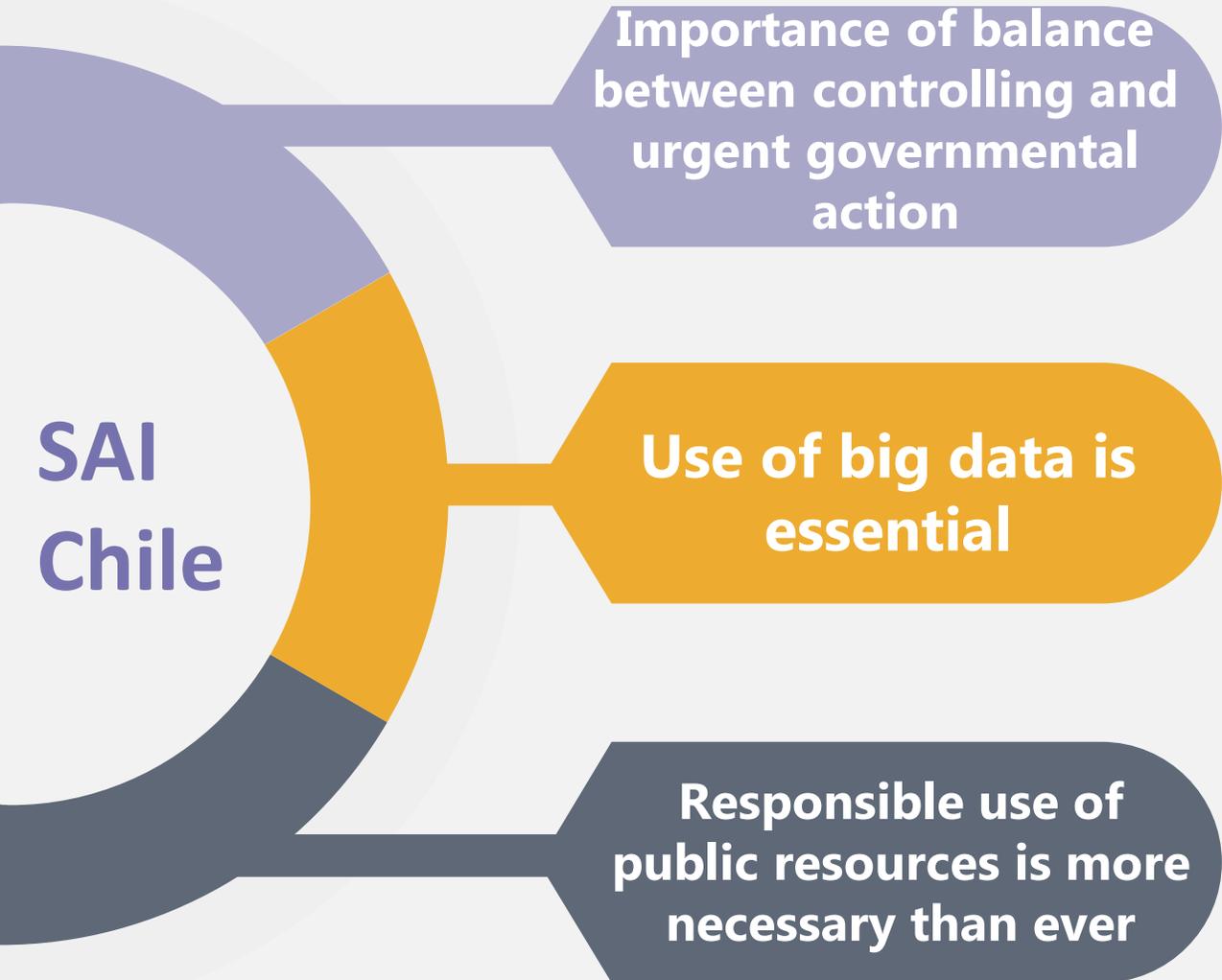


Trending topic: Political abuse (proselytizing)

- Excessive appearance of certain politicians in TV morning shows.
- Delivery of social benefits with political interest over efficient distribution and not respecting privacy of citizens.



Conclusion from our work in times of pandemic





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